

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

| | | | |
|---|--|-------------------------------|--------------------------------|
| UTILITY PATENT APPLICATION TRANSMITTAL <small>(Only for new nonprovisional applications under 37 CFR 1.53(b))</small> | | <i>Attorney Docket No.</i> | 03727- P0048C |
| | | <i>First Inventor</i> | Barbara Isenberg |
| | | <i>Title</i> | Toy With Customization Feature |
| | | <i>Express Mail Label No.</i> | EL550092860US |

22278 U.S. PTO
10/699080

103103

| | | | |
|--|--|--|--|
| APPLICATION ELEMENTS <small>See MPEP chapter 600 concerning utility patent application contents</small> | | <i>ADDRESS TO:</i> Mail Stop Patent Application Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450 | |
| 1. <input checked="" type="checkbox"/> Fee Transmittal Form (e.g., PTO/SB/17) <small>(Submit an original, and a duplicate for fee processing)</small> 2. <input checked="" type="checkbox"/> Applicant claims small entity status <small>See 37 CFR 1.27.</small> 3. <input checked="" type="checkbox"/> Specification [Total Pages <u>13</u>] <small>(preferred arrangement set forth below)</small> <ul style="list-style-type: none"> - Descriptive title of the Invention - Cross References to Related Applications - Statement Regarding Fed sponsored R & D - Reference to sequence listing, a table, or a computer program listing appendix - Background of the Invention - Brief Summary of the Invention - Brief Description of the Drawings (<i>if filed</i>) - Detailed Description - Claims(s) - Abstract of the Disclosure 4. <input checked="" type="checkbox"/> Drawings(s) (35 USC 113) [Total Sheets <u>5</u>] | | 7. <input type="checkbox"/> CD-ROM or CD-R in duplicate, large table or Computer Program (<i>Appendix</i>) 8. Nucleotide and/or Amino Acid Sequence Submission (<i>if applicable, all necessary</i>) a. <input type="checkbox"/> Computer Readable Form (CRF) b.: Specification Sequence Listing on: <ul style="list-style-type: none"> i. <input type="checkbox"/> CD-ROM or CD-R (2 copies); or ii. <input type="checkbox"/> paper c. <input type="checkbox"/> Statement verifying identity of above copies | |
| ACCOMPANYING APPLICATION PARTS | | | |
| 9. <input type="checkbox"/> Assignment Papers (cover sheet & document(s)) 10. <input type="checkbox"/> 37 CFR 3.73(b) Statement <input type="checkbox"/> Power of Attorney (<i>when there is an assignee</i>) 11. <input type="checkbox"/> English Translation Document (<i>if applicable</i>) 12. <input type="checkbox"/> Information Disclosure Statement (IDS)/PTO-1449 <input type="checkbox"/> Copies of IDS Citations 13. <input type="checkbox"/> Preliminary Amendment 14. <input checked="" type="checkbox"/> Return Receipt Postcard (MPEP 503) (<i>Should be specifically itemized</i>) 15. <input type="checkbox"/> Certified Copy of Priority Document(s) (<i>if foreign priority is claimed</i>) 16. <input type="checkbox"/> Nonpublication Request and Certification under 35 U.S.C. 122(b)(2)(B)(i). Applicant must attach form PTO/SB/35 of its equivalent. 17. <input checked="" type="checkbox"/> Copies of Extension Request & Retention Fee Transmittal for SN 10/425,182 | | | |

18. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information below and in the first sentence of the specification following the title, or in an Application Data Sheet under 37 CFR 1.76:

Continuation Divisional Continuation-in-part (CIP) of prior application No.: U.S. Patent Application No. 10/425,182 which claims priority to U.S. Provisional Patent Application No. 60/376,298

Prior application information: Examiner Group/Art Unit:

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

18. CORRESPONDENCE ADDRESS

| | | | | | | |
|--|---|--|--------------|----------|------------|--------------|
| <input checked="" type="checkbox"/> Customer Number: | 24126 | <i>OR</i> <input checked="" type="checkbox"/> Correspondence address below | | | | |
| Name | Wesley W. Whitmyer, Jr. | | | | | |
| Address | St. Onge Steward Johnston & Reens LLC 986 Bedford Street | | | | | |
| City | Stamford | State | CT | Zip Code | 06905-5619 | |
| Country | United States | Telephone | 203 324-6155 | | Fax | 203 327-1096 |
| Name (Print/Type) | Helen M. Limoncelli | Registration No. (Attorney/Agent) | 51,950 | | | |
| Signature | <i>Helen M. Limoncelli</i> | | | Date | 10/31/03 | |

This collection of information is required by 37 CFR 1.53(b). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 36 U.S.C. 122 ND 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Office, Patent and Trademark Office, Washington, DC 20231-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

FEE TRANSMITTAL for FY 2003

Effective 01/01/2003. Patent fees are subject to annual revision

 Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT

(\$ 385.00)

Attorney Docket Number 03727-P0048C WWW/HML

METHOD OF PAYMENT (check all that apply)

Check Credit Card Money Other None
 Deposit Account: order

Deposit Account Number

19-4516

Deposit Account Name

St.Onge Steward Johnston & Reens LLC

The Director is authorized to: (check all that apply)

Charge fee(s) indicated below Credit any overpayments
 Charge any additional fees(s) during the pendency of this application
 Charge fees(s) indicated below, except for the filing fee to the above-identified deposit account

FEE CALCULATION

1. BASIC FILING FEE

Large Entity Small Entity

| Fee Code | Fee (\$) | Fee Code | Fee (\$) | Fee Description | Fee Paid |
|--------------|----------|----------|----------|------------------------|----------|
| 1101 | 770 | 2001 | 385 | Utility filing fee | 385.00 |
| 1002 | 340 | 2202 | 170 | Design filing fee | |
| 1003 | 530 | 2003 | 265 | Plant filing fee | |
| 1004 | 770 | 2004 | 385 | Reissue filing fee | |
| 1005 | 160 | 2005 | 80 | Provisional filing fee | |
| SUBTOTAL (1) | | | | (\$) | 385.00 |

2. EXTRA CLAIMS FEES FOR UTILITY AND REISSUE

| Total Claims | Independent Claims | Multiple Dependent | Extra Claims | Fee from below | Fee Paid |
|--------------|--------------------|--------------------|--------------|----------------|----------|
| | | | 20 | -20** | 0 |
| | | | 3 | -3** | 0 |
| | | | | = | X |

Large Entity Small Entity

| Fee Code | Fee (\$) | Fee Code | Fee Code | Fee Description |
|--------------|----------|----------|----------|---|
| 1201 | 18 | 2202 | 9 | Claims in excess of 20 |
| 1201 | 86 | 2201 | 43 | Independent claims in excess of 3 |
| 1203 | 290 | 2203 | 145 | Multiple dependent claims, if not paid |
| 1204 | 86 | 2204 | 43 | **Reissue independent claims over original patent |
| 1205 | 18 | 2205 | 9 | **Reissue claims in excess of 20 over original patent |
| SUBTOTAL (2) | | | | (\$) |
| | | | | 0.00 |

** or number previously paid, if greater; For Reissues, see above

| Complete if Known | | | | | | |
|-----------------------------------|----------|----------------------|----------|--|--|-----------|
| Application No. | | - Pending | | | | |
| Filing Date | | October 31, 2003 | | | | |
| First Named Inventor | | Barbara Isenberg | | | | |
| Examiner Name | | | | | | |
| Art Unit | | | | | | |
| Attorney Docket Number | | 03727-P0048C WWW/HML | | | | |
| 3. ADDITIONAL FEES | | | | | | |
| Large Entity | | Small Entity | | Fee Description | | Fee Paid |
| Fee Code | Fee (\$) | Fee Code | Fee (\$) | | | |
| 1051 | 130 | 2051 | 65 | Surcharge - late filing for or oath | | |
| 1052 | 50 | 2052 | 25 | Surcharge - late provisional filing or cover sheet | | |
| 1053 | 130 | 1053 | 130 | Non-English specification | | |
| 1812 | 2,520 | 1812 | 2,520 | For filing a request for ex parte reexamination | | |
| 1804 | 920* | 1804 | 920* | Requesting publication of SIR prior to Examiner action | | |
| 1805 | 1,840* | 1805 | 1,840* | Requesting publication of SIR after Examiner action | | |
| 1251 | 110 | 2251 | 55 | Extension for reply within first month | | |
| 1252 | 40 | 2252 | 210 | Extension for reply within second month | | |
| 1253 | 950 | 2253 | 475 | Extension for reply within third month | | |
| 1254 | 1,450 | 2254 | 725 | Extension for reply within fourth month | | |
| 1255 | 1,970 | 2255 | 985 | Extension for reply within fifth month | | |
| 1401 | 330 | 2401 | 165 | Notice of Appeal | | |
| 1402 | 330 | 2402 | 165 | Filing brief in support of an appeal | | |
| 1403 | 290 | 2403 | 145 | Request for oral hearing | | |
| 1451 | 1,510 | 1451 | 1,510 | Petition to institute a public use proceeding | | |
| 1452 | 110 | 2452 | 55 | Petition to revive - unavoidable | | |
| 1453 | 1,330 | 2453 | 665 | Petition to revive - unintentional | | |
| 1501 | 1,330 | 2501 | 665 | Utility issue fee (or reissue) | | |
| 1502 | 480 | 2502 | 240 | Design issue fee | | |
| 1503 | 640 | 2503 | 320 | Plant issue fee | | |
| 1460 | 130 | 1460 | 130 | Petitions to the Commissioner | | |
| 1807 | 50 | 1807 | 50 | Processing fee under 37 CFR 1.17(q) | | |
| 1806 | 180 | 1806 | 180 | Submission of Information Disclosure Stmt | | |
| 8021 | 40 | 8021 | 40 | Recording each patent assignment per property (times number of properties) | | |
| 1809 | 770 | 2809 | 385 | Filing a submission after final rejection (37 CFR 1.129(a)) | | |
| 1810 | 770 | 2810 | 385 | For each additional invention to be examined (37CFR 1.129(b)) | | |
| 1801 | 770 | 2801 | 385 | Request for Continued Examination (RCE) | | |
| 1802 | 900 | 1802 | 900 | Request for expedited examination of a design application | | |
| Other fee (specify) | | | | | | |
| *Reduced by Basic Filing Fee Paid | | | | SUBTOTAL (3) | | (\$ 0.00) |

SUBMITTED BY St.Onge Steward Johnston & Reens LLC

Complete (if applicable)

| | | | | | |
|-------------------|---------------------|----------------------------------|--------|------------|--------------|
| Name (Print/Type) | Helen M. Limoncelli | Registration No (Attorney/Agent) | 51,950 | Telephone | 203 324-6155 |
| Signature | | | Date | 10/31/2003 | |

WARNING: Information on this form may become public. Credit card information should not be included on this form.

Provide credit card information and authorization on PTO-2038

This collection of information is required by 37CFR 1.17 and 1.27. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering of information, preparing and submitting the completed application form to the USPTO. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form and/or suggestions for reducing this burden should be sent to the Chief Information Officer, Patent and Trademark Office, U.S. Department of Commerce; P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORM TO THIS ADDRESS. SEND TO: Commissioner for Patents; P.O. Box 1450; Alexandria, VA 22313-1450. If you need assistance in completing the form, call 8-800-PTO-9199 and select option 2

PATENT
03727-P0048B WWW/HML

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

| | |
|-----------------------|---------------------------------|
| Applicant | Barbara Isenberg |
| Serial No. 10/425,182 | Filing Date: April 29, 2003 |
| Title of Application: | Plush Toy With Dressing Feature |
| Confirmation No. 3605 | Art Unit: 3712 |

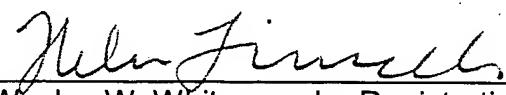
Mail Stop Missing Parts
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Transmittal of Document Retention Fee

Dear Sir:

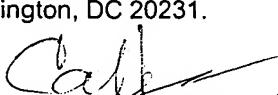
Applicant hereby encloses a \$130 document retention fee as set forth in 37 C.F.R. 1.21(l). Applicant has also filed concurrently herewith a request for a two month extension of time (copy enclosed) to respond to the Notice of Missing Parts mailed on July 1, 2003 so as to maintain the pending status of the present application in order to ensure that the pending application and a continuation-in-part application also filed today are co-pending.

Respectfully submitted,


Wesley W. Whitmyer, Jr., Registration No. 33,558
Helen M. Limoncelli, Registration No. 51,950
Attorneys for Applicant
ST.ONGE STEWARD JOHNSTON & REENS LLC
986 Bedford Street; Stamford, CT 06905-5619
203 324-6155

Certificate of Mailing: I hereby certify that this correspondence is today being deposited with the U.S. Postal Service as first class mail in an envelope addressed to: Box Missing Parts; Commissioner for Patents; Washington, DC 20231.

October 31, 2003


Caroline B. Gahagan



UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE
 United States Patent and Trademark Office
 Address: COMMISSIONER OF PATENTS AND TRADEMARKS
 P.O. Box 1450
 Alexandria, Virginia 22313-1450
www.uspto.gov

| APPLICATION NUMBER | FILING/RECEIPT DATE | FIRST NAMED APPLICANT | ATTORNEY DOCKET NUMBER |
|--------------------|---------------------|-----------------------|------------------------|
| 10/425,182 | 04/29/2003 | Barbara Isenberg | 03727-P0048B |

24126
 ST. ONGE STEWARD JOHNSTON & REENS, LLC
 986 BEDFORD STREET
 STAMFORD, CT 06905-5619

St. Ongue Steward Johnston & Reens
 WML
 JUL 08 2003

CONFIRMATION NO. 3605

FORMALITIES LETTER



10C000000010396986*

FILE 9-1-03 Drawings
 DKT 11-04 SP Cn.

Date Mailed: 07/01/2003

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

Items Required To Avoid Abandonment:

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 375 to complete the basic filing fee for a small entity.
- The oath or declaration is missing.
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Replacement drawings in compliance with 37 CFR 1.84 and 37 CFR 1.121 are required. The drawings submitted are not acceptable because:
 - The drawings must be in dark ink (not pencil), except where color drawings or photographs are permitted.). See Figure(s) 1-5.

SUMMARY OF FEES DUE:

Total additional fee(s) required for this application is **\$440** for a Small Entity

- **\$375** Statutory basic filing fee.

- \$65 Late oath or declaration Surcharge.

*A copy of this notice **MUST** be returned with the reply.*

Whose
Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

**ST. ONGE STEWARD JOHNSTON
& REENS LLC**

986 BEDFORD STREET
STAMFORD, CT 06905

Fleet Bank
Stamford, Connecticut
51-57
119

055750

CHECK NO.

55750

****ONE HUNDRED THIRTY AND 00/100 DOLLARS****

PAY
TO THE
ORDER
OF

AMOUNT

DATE

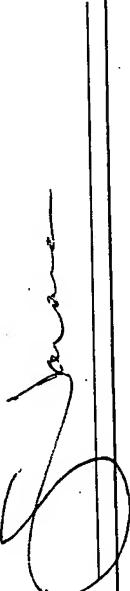
\$130.00

Commissioner of Patents & Trademarks

S. J. Steward

"055750" :0119005711: 0001 51059"

COPY

| | | |
|--|-----------------------|-----------------|
| ST. ONGE STEWARD JOHNSTON & REENS LLC | Fleet Bank | 055749 |
| 986 BEDFORD STREET | Stamford, Connecticut | |
| STAMFORD, CT 06905 | 51-57 119 | CHECK NO. 55749 |
| **TWO HUNDRED TEN AND 00/100 DOLLARS** | | |
| PAY TO THE ORDER OF | DATE | AMOUNT |
| Commissioner of Patents & Trademarks | 10/31/03 | *\$210.00* |
|  | | |
| 055749 10119005711:0001 510591 | | |

COPY

Case No. 03727-P0048B WWW/HML/hml

Applicant:

SN: 10/425,182

For: Plush Toy With Dressing Feature

Receipt is hereby acknowledged of Transmittal of Document Retention Fee and Petition for Time Extension, and \$210.00 and \$130.00 Checks.

Mailed October 31, 2003

Commissioner
for Patents

Filed 4/29/03